

# *Is the CP violation observed in $D$ decays a signal of new Physics?*

*Rahul Sinha*



*Affiliate Graduate Faculty*  
University of Hawai'i at Mānoa  
Department of Physics & Astronomy



*Retired Professor H*  
The Institute of Mathematical Sciences

*Work done in collaboration with Tom Browder,  
N.G. Deshpande & Nita Sinha*

*To be submitted shortly*  
arXiv:2410.xxxxx

# *LHCb observation of CP violation in D mesons*

*14<sup>th</sup> Nov 2011:*

*LHCb presents first evidence of CP violation in D decays.*

$$\Delta A_{\text{CP}} \equiv A_{\text{CP}}(K^+ K^-) - A_{\text{CP}}(\pi^+ \pi^-) = (-0.82 \pm 0.21 \pm 0.11)\%$$

*21st Mar 2019:*

$$\Delta A_{\text{CP}} = (-15.4 \pm 2.9) \times 10^{-4} > 5\sigma$$

*13<sup>th</sup> July 2022:*

$$a_{+-}^{KK} = (7.7 \pm 5.7) \times 10^{-4}$$

$$a_{+-}^{\pi\pi} = (23.2 \pm 6.1) \times 10^{-4}$$

*arXiv:1404.1266 [hep-ex] Belle:*

$$a_{00}^{\pi\pi} = (-3 \pm 64) \times 10^{-4}$$

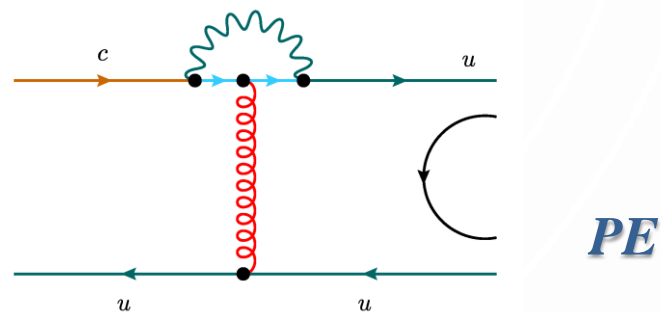
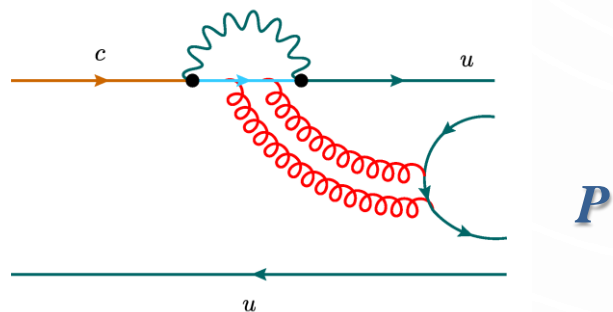
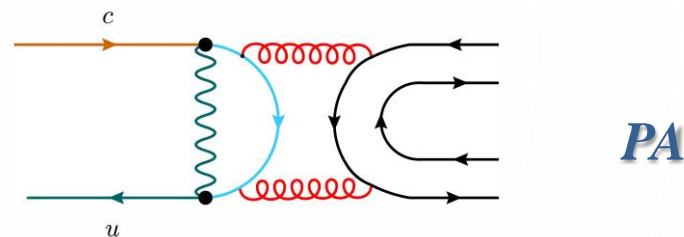
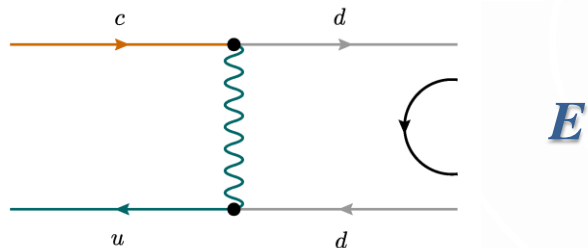
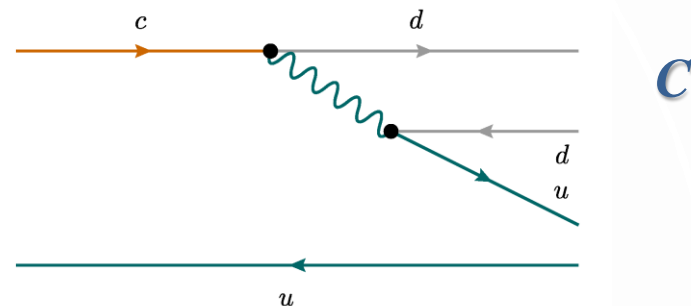
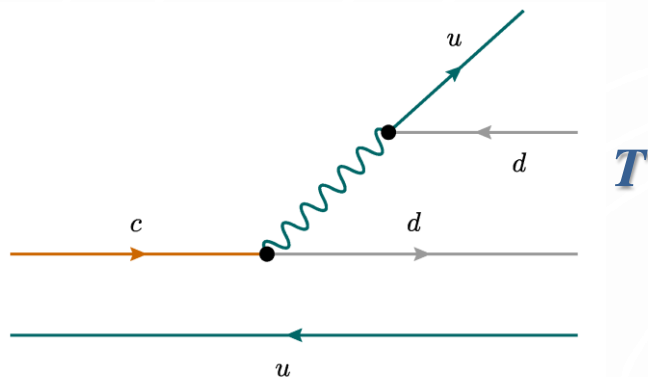
$$B_{+-} = (1.454 \pm 0.024) \times 10^{-3} \quad B_{00} = (8.26 \pm 0.25) 10^{-4}$$

$$B_{+0} = (1.247 \pm 0.033) \times 10^{-3} \quad \text{PDG}$$

*For a long time, it was believed that any CP violation seen in D-decays is a smoking gun signal of New Physics.*

- Evidence of CP violation in  $D \rightarrow \pi\pi$  and  $D \rightarrow KK$  has raised a debate whether the observed CPV can be regarded as a signal of NP.*
- Confusion stems from the difficulty in reliably estimating long distance contributions & establishing that the penguin contribution is too large to be acceptable in SM.*
- Several studies have examined the issues. No clear indications.*
- We estimate the size of the penguin contributions directly from experimental data.*
- Examine final-state interactions in a model independent way based only on unitarity.*

# Penguin topologies



# The amplitude for $D \rightarrow \pi\pi$

$$A(D^0 \rightarrow \pi^+ \pi^-) = \sqrt{2} \left( \lambda_d (T + E^d) + \lambda_p (P^p + PE^p + PA^p) \right)$$

$$A(D^0 \rightarrow \pi^0 \pi^0) = \left( \lambda_d (C - E^d) - \lambda_p (P^p + PE^p + PA^p) \right)$$

$$A(D^+ \rightarrow \pi^+ \pi^0) = \lambda_d (T + C)$$

$$\lambda_d = V_{cd}^* V_{ud}$$

$$\lambda_s = V_{cs}^* V_{us}$$

$$\lambda_b = V_{cb}^* V_{ub}$$

$$A(D^0 \rightarrow \pi^+ \pi^-) \equiv A^{+-} = \sqrt{2} (t + e^{i\phi} p)$$

$$A(D^0 \rightarrow \pi^0 \pi^0) \equiv A^{00} = c - e^{i\phi} p$$

$$A(D^+ \rightarrow \pi^+ \pi^0) \equiv A^{+0} = (t + c),$$

*Unitarity of CKM*

$$\lambda_d + \lambda_s + \lambda_b = 0$$

$$\Rightarrow \lambda_b = -\lambda_d - \lambda_s$$

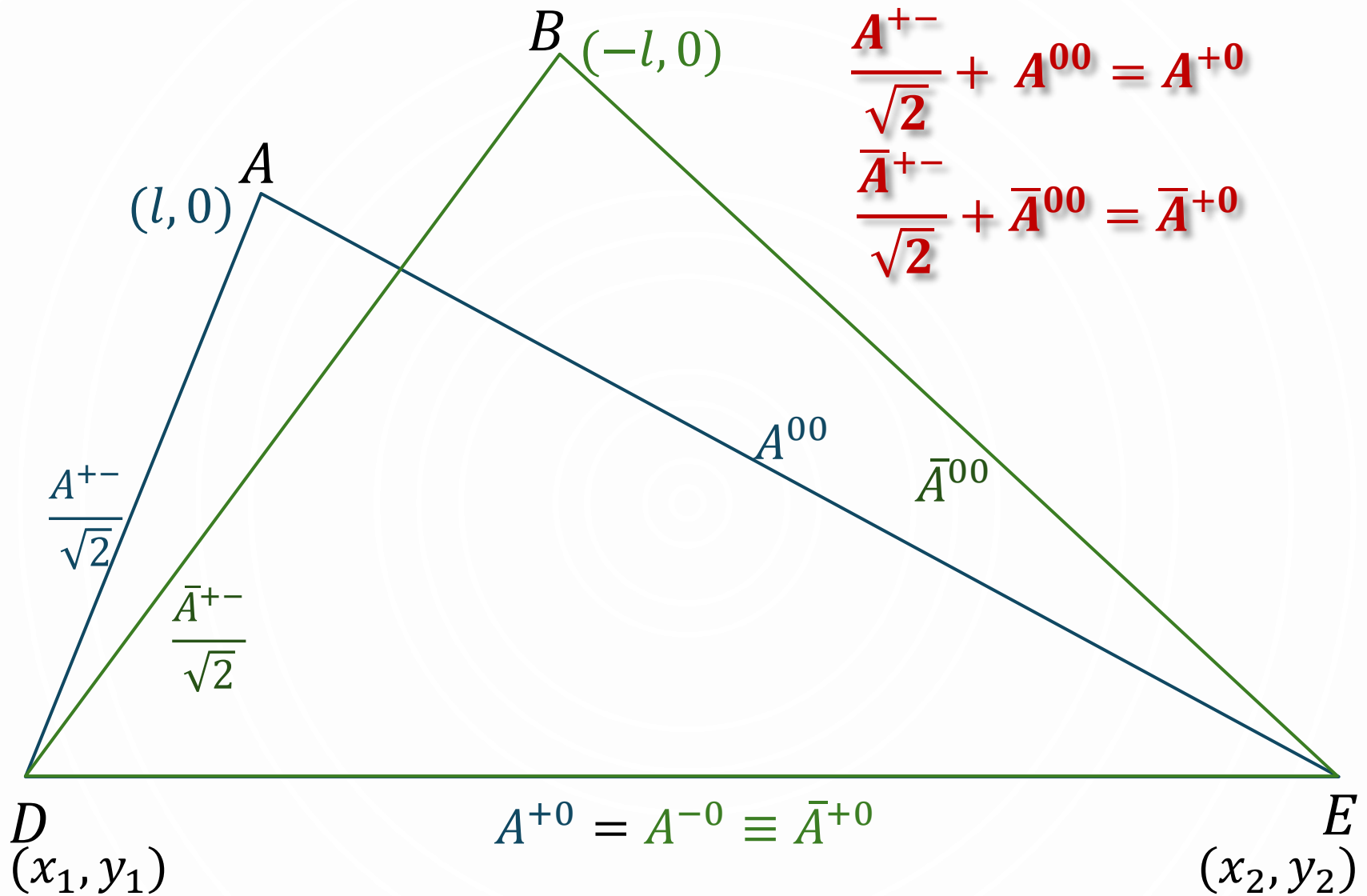
$$t = |\lambda_d| (T + E^d + P^{db} + PE^{db} + PA^{db}),$$

$$c = |\lambda_d| (C - E^d - P^{db} - PE^{db} - PA^{db}),$$

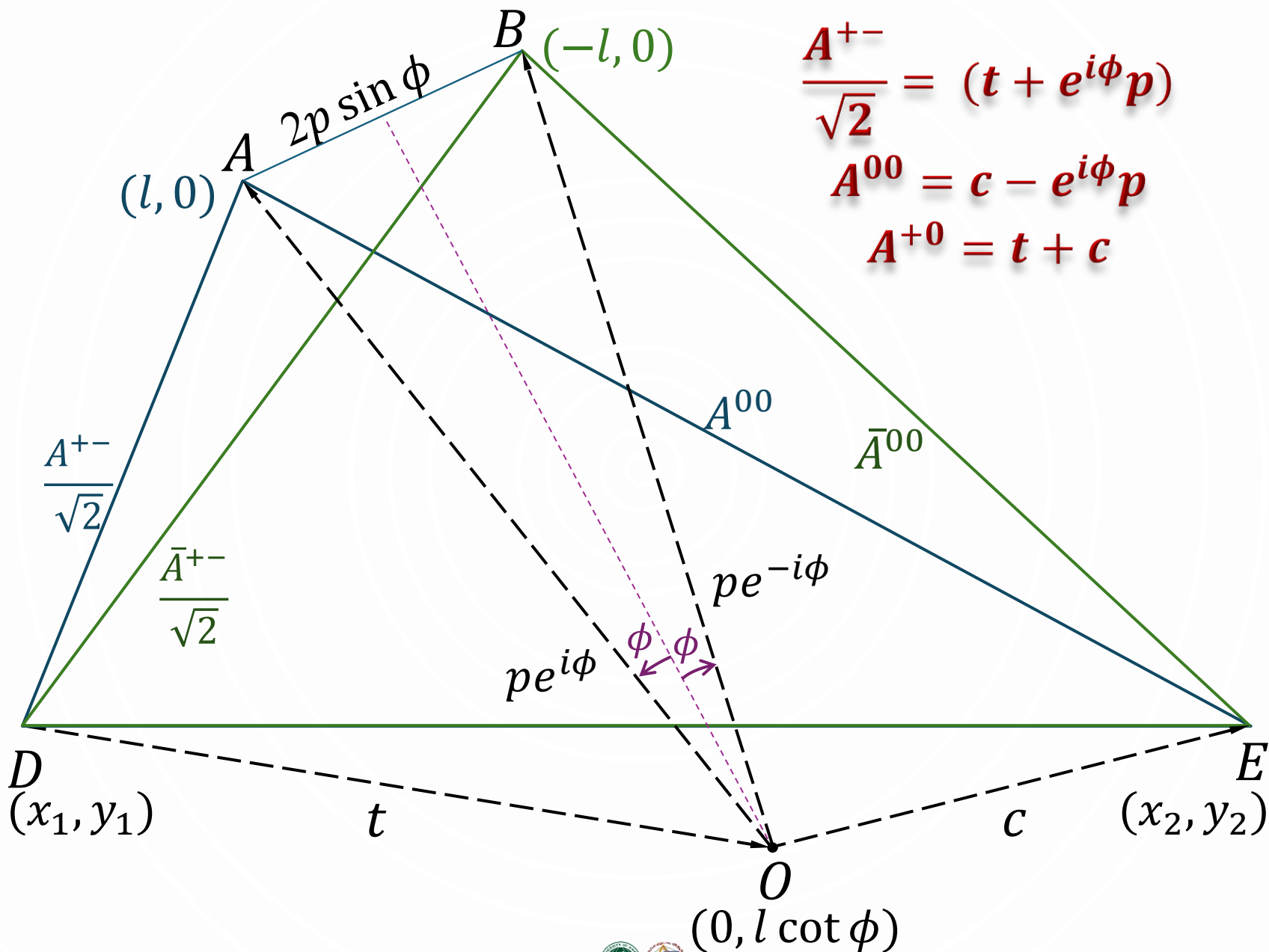
$$p = |\lambda_s| (P^{sb} + PE^{sb} + PA^{sb}),$$

$$\frac{1}{\sqrt{2}} A^{+-} + A^{00} = A^{+0}$$

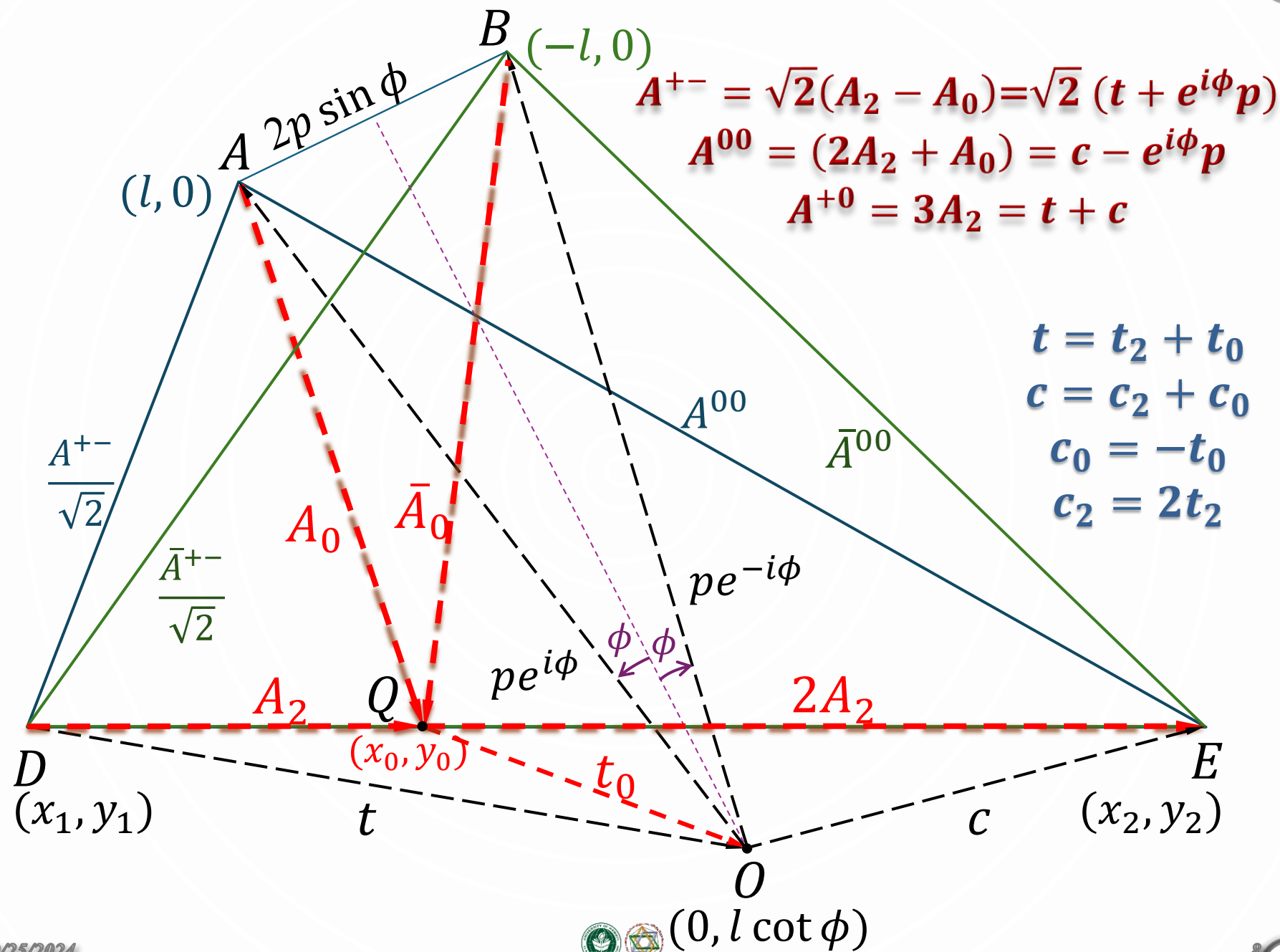
$$X^{ij} = X^i - X^j \quad i = \{d, s\} \quad j = b$$



***The isospin triangles for  $D \rightarrow \pi\pi$  modes***









# CKM parameters

Evaluate  $\lambda_d, \lambda_s$  in Wolfenstein parametrization up to  $\mathcal{O}(\lambda^6)$  where  $\lambda \equiv \sin \theta_c$ .

$$\begin{aligned}\lambda_d &= \left( -\lambda + \frac{1}{2} A^2 \lambda^5 (1 - 2(\rho + i\eta)) \right) \left( 1 - \frac{1}{2} \lambda^2 - \frac{1}{8} \lambda^4 \right) \\ &= (0.219113 \pm 0.000625) e^{i(-0.00063 \pm 0.000026)}\end{aligned}$$

$$\lambda_s = \lambda \left( 1 - \frac{1}{2} \lambda^2 - \frac{1}{8} \lambda^4 (1 + 4A^2) \right) = 0.219045 \pm 0.000624$$

$$\lambda = 0.22501 \pm 0.00068 \qquad A = 0.826^{+0.016}_{-0.015}$$

$$\bar{\rho} = 1.591 \pm 0.0091 \qquad \bar{\eta} = 0.3523^{+0.0073}_{-0.0071}$$

*SM weak phase*  $\phi \equiv \arg(-\lambda_s/\lambda_d)$

$$= (0.000633 \pm 0.000026)$$

$$= (0.0363 \pm 0.0015)^\circ$$

*Smallest weak phase & most accurately determined phase*

*In terms of the coordinates the observables are derived to be*

$$B_{+-} = 2(x_1^2 + y_1^2) + 2l^2, \quad B_{00} = (x_2^2 + y_2^2) + l^2$$

$$B_{+0} = (x_1^2 + y_1^2) + (x_2^2 + y_2^2) - 2x_1x_2 - 2y_1y_2,$$

$$a_{+-} = -\frac{4x_1p \sin \phi}{B_{+-}} \quad a_{00} = -\frac{2x_2p \sin \phi}{B_{00}}$$

*$x_1, y_1, x_2, y_2$  and  $l$  that define the coordinates can be solved in terms of the five observables*

$$x_1 = -\frac{a_{+-}B_{+-}}{4l}, \quad x_2 = -\frac{a_{00}B_{00}}{2l},$$

$$y_1 = \pm \frac{\sqrt{a_{+-}^2 B_{+-}^2 + 8B_{+-} l^2 + 16l^4}}{4l},$$

$$y_2 = \pm \frac{\sqrt{a_{00}^2 B_{00}^2 + 4B_{00} l^2 + 4l^4}}{2l},$$

*$l^2$  can be solved up to a quadratic ambiguity using the expression for  $B^{+0}$*

*The amplitudes and strong phases of  $t$ ,  $c$ ,  $p$ ,  $A_2$ ,  $A_0$ ,  $\bar{A}_0$  and  $t_0$  can be determined purely in terms of experimental data. write the amplitudes in terms of complex coordinate system as follows:*

$$t = -x_1 + i(l \cot \phi - y_1),$$

$$c = x_2 + i(y_2 - l \cot \phi),$$

$$p = -i l \csc \phi,$$

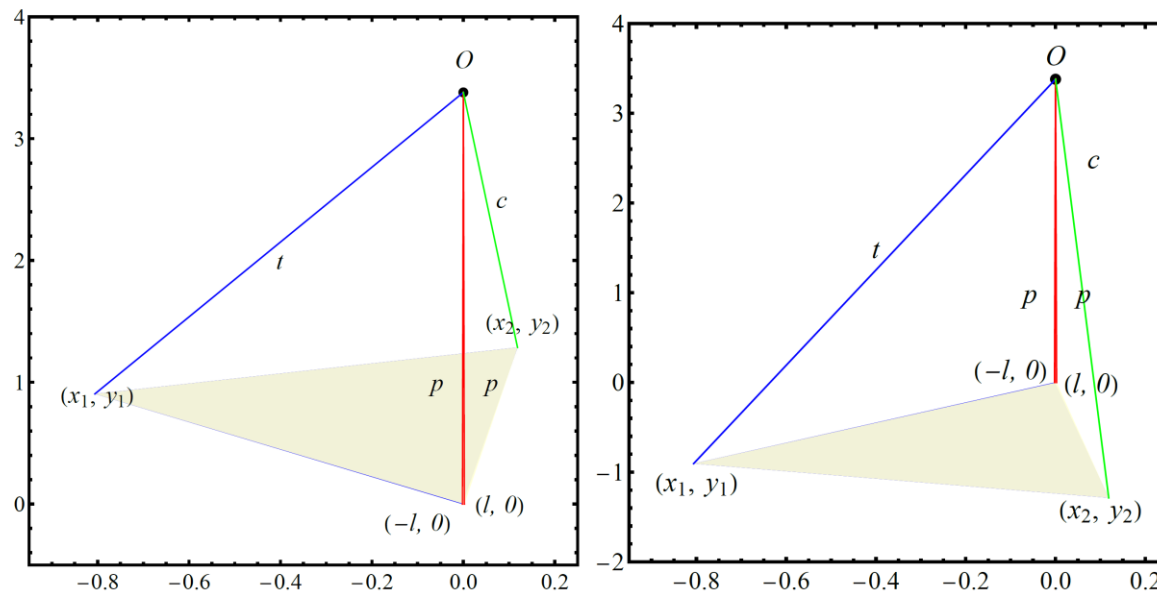
$$A_0 = (2x_1 + x_2)/3 - l + i(2y_1 + y_2)/3,$$

$$\bar{A}_0 = (2x_1 + x_2)/3 + l + i(2y_1 + y_2)/3,$$

$$A_2 = (-x_1 + x_2)/3 + i(-y_1 + y_2)/3,$$

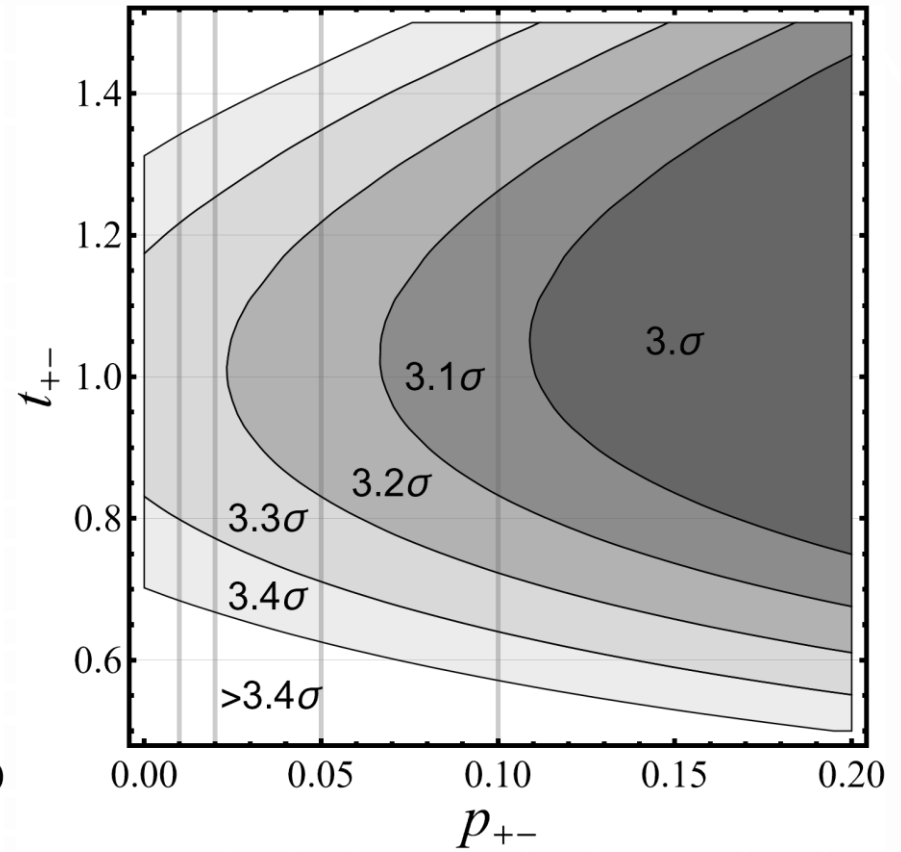
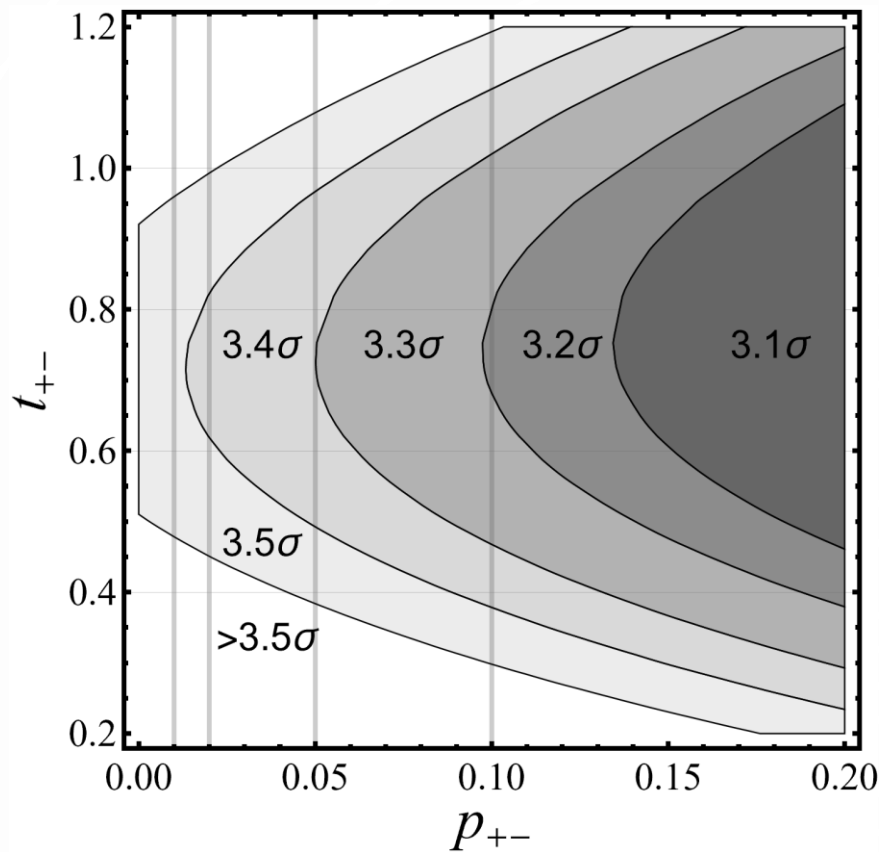
$$t_0 = (2x_1 + x_2)/3 + i(l \cot \phi - (2y_1 + y_2)/3)$$

*Well known that solutions have four-fold ambiguities which correspond to the relative orientation of the triangles.*



*Triangles representing the central values of the measured observables. Note that the triangles corresponding to mode and conjugate mode almost overlap. Only 2 triangles which have smaller penguin shown .*

*The amplitudes of  $t$ ,  $c$ ,  $p$ ,  $A_2$ ,  $A_0$  and  $t_0$  as-well-as their phases are evaluated using **200,000** points simulated data set of  $B_{+-}$ ,  $B_{00}$ ,  $B_{+0}$ ,  $a_{+-}$ ,  $a_{00}$  and  $\phi$ .*



(4.74, 4.18)

(4.74, 5.40)

*Best fit values for  $(p_{+-}, t_{+-})$*

$$p_{+-} = 4.74^{+3.72}_{-2.83} \quad 1\sigma$$

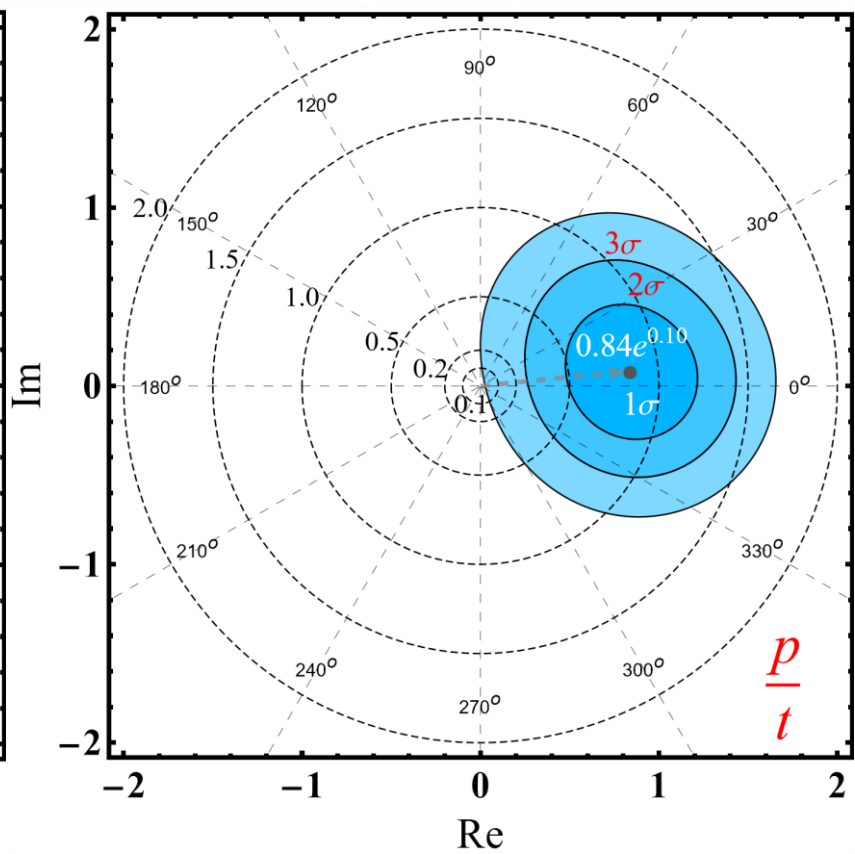
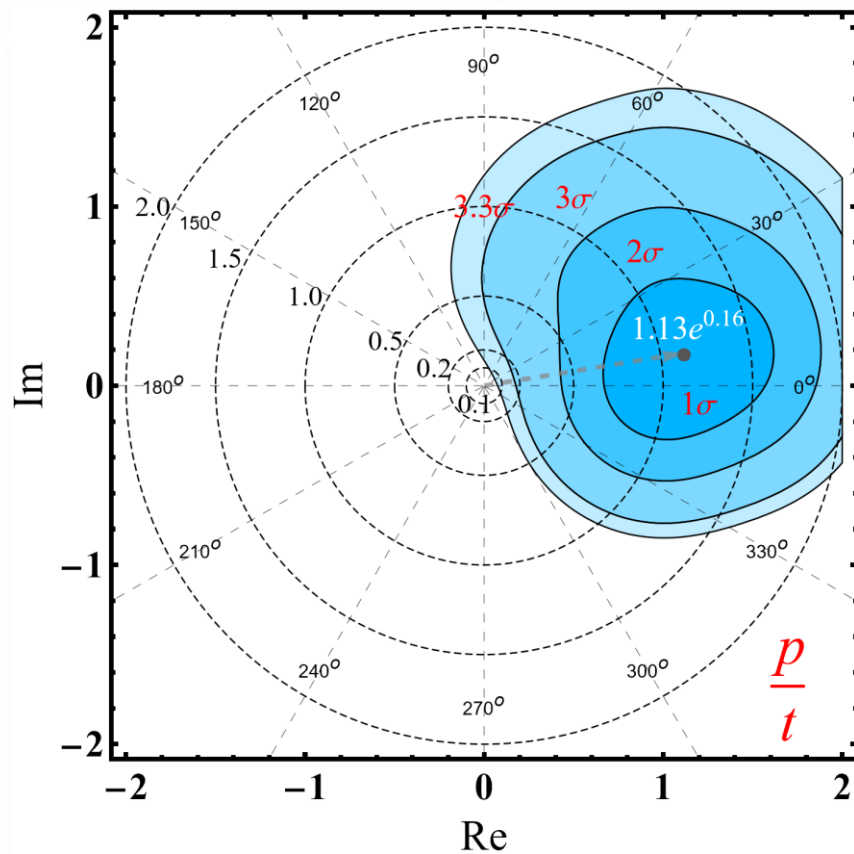
$$p_{+-} = 4.74^{+5.56}_{-3.25} \quad 2\sigma$$

$$p_{+-} = \frac{p}{\sqrt{B_{+-}}}$$

$$t_{+-} = \frac{t}{\sqrt{B_{+-}}}$$

$$p_{+-} = 4.74^{+3.75}_{-2.84} \quad 1\sigma$$

$$p_{+-} = 4.74^{+5.58}_{-3.26} \quad 2\sigma$$



$\frac{p}{t}$  corresponding to the two triangle orientations are shown.  
 Note that small values of  $\frac{p}{t} = 0.1$  are possible only at  $\sim 3\sigma$ .



*Khodjamirian & Petrov: Estimate  $p_{+-} = (0.09 \pm 0.01)$ , using light cone sum rule technique.*

- Since momentum flowing through the penguin loop are of order 1GeV or larger perturbative calculation of loop is reasonable.*
- Convincing arguments on the broad reliability of these estimates have been made in literature.*

*Final state interactions might alter size of the penguin contributions. Examine effects of final state interactions in a model independent way and draw general conclusions.*

*In two-body scattering of  $n$ -coupled channels a convenient parametrization of a unitary  $S$ -matrix is in terms of the  $K$ -matrix.*

$$S(s) = (1 - iK(s))^{-1} (1 + iK(s))$$

*$K(s)$  is a  $n \times n$  hermitian matrix and  $s = m_D^2$ .*



*A set of “n” un-unitarized weak decay amplitudes  $A^0(s)$  are unitarized into a set  $A^U(s)$  through multichannel final state interactions via matrix equation,*

$$A^U(s) = (1 - iK(s))^{-1} A^0(s)$$

*Since strong interactions conserve isospin, has to be written for each isospin*

*Let  $U$  be a unitary matrix that diagonalizes the hermitian matrix  $K$  with real eigenvalues  $\lambda_i$  ( $i = 1, \dots, n$ ).*

$$\Rightarrow \sum_i^n A_i^{U\dagger} A_i^U(s) = \sum_i^n (UA^0)_i^\dagger (1 + \lambda_i^2)^{-1} (UA^0)_i$$

$$\Rightarrow \sum_i^n |A_i^U|^2 \leq \sum_i^n |A_i^0|^2 \quad \because \lambda_i \text{ are real}$$

*The sum of transition probabilities after interchannel mixing cannot exceed the sum before mixing.*

- Penguin amplitudes “p” has a weak phase  $\Rightarrow$  cannot receive contributions from amplitudes that do not have a weak phase.

$$\Rightarrow \sum_i^n |p_i^U|^2 \leq \sum_i^n |p_i^O|^2$$

*Unitarity condition applies separately to the p amplitudes.*

- *If anomaly of large penguin contributions arises from within the SM, one must generate large penguin contributions in at least one of the channels that re-scatters to produce the observed asymmetries. This is a far cry within the SM.*

*Impossible therefore that re-scattering could account for the large penguins and the observed CP asymmetries.*

# *Including NP contributions*

- *Justifiable in SM to ignore electroweak penguins ( $p_{EW}$ ).*
- *In the presence of NP,  $p_{EW}$  can be sizable. In such a case we cannot solve for all the parameters.*
- *Direct CP asymmetry measured in the  $D^+ \rightarrow \pi^+ \pi^0$  mode  $a_{+0} = (4.0 \pm 8.0) \times 10^{-3}$ .*
- *Since  $A^{+0}$  and  $\bar{A}^{+0}$  can differ maximum by 0.012 at  $1\sigma$ , two triangles may not in principle share a common base.*
- *However, this difference is a small given the estimate of penguin contributions.*
- *To a very good approximation we can assume that  $p$  includes  $p_{EW}$  contributions if any in all our estimations.*

*NP amplitude  $Ne^{i\phi_{NP}}$  can be rewritten such that*

$$Ne^{i\phi_{NP}} \equiv N_1 + N_2 e^{i\phi}$$

$$\Rightarrow N_1 = N \cos \phi_{NP} - N \cot \phi \sin \phi_{NP}$$

$$N_2 = N \csc \phi \sin \phi_{NP}$$

*$N_1$  must be clubbed with  $t$  and  $N_2$  with  $p$  so that the weak phases are aligned.  $\Rightarrow$  both  $t$  and  $p$  get altered by NP.*

$$p_{+-}^{\text{obs}} = (p_{+-}^{\text{SM}} + N_{+-} e^{i\delta_{NP}} \csc \phi \sin \phi_{NP}) e^{i\phi};$$

*Relative strong phase*

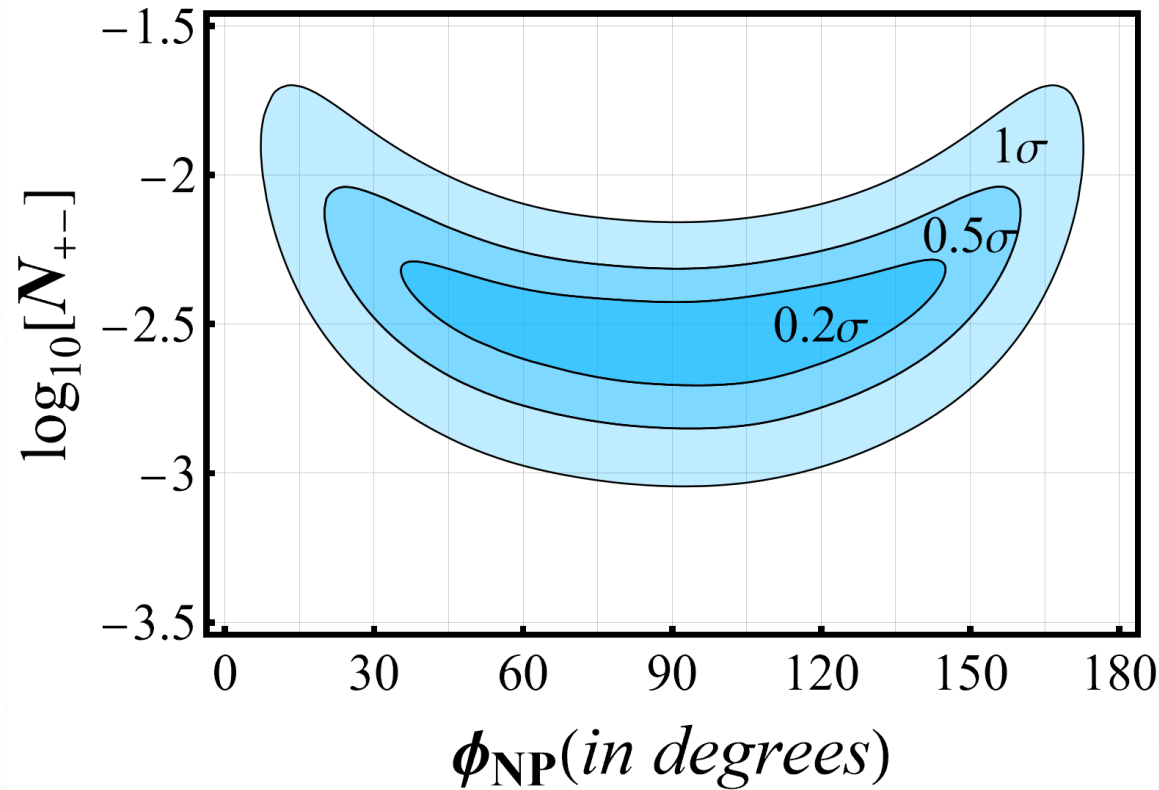
*Normalized to  $\sqrt{B_{+-}}$*

$$(p_{+-}^{\text{obs}})^2 = (p_{+-}^{\text{SM}})^2 + 2N_{+-} p_{+-}^{\text{SM}} \cos \delta_{NP} \csc \phi \sin \phi_{NP} + N_{+-}^2 \csc^2 \phi \sin^2 \phi_{NP}$$

*Obtained from data*

*Estimated to be  $0.09 \pm 0.01$*

*Solve for  $N_{+-}$*



*Contours for  $\log_{10}[N_{+-}]$  versus  $\phi_{NP}$  (in degrees). It is easy to see that reasonable value of  $N_{+-}$  smaller than  $p_{+-}$  can result in large observed penguin and CP violation.*

# Conclusions

- *Data indicates that  $p_{+-} \approx 0.1$  is at least  $3\sigma$  away from the estimated value indicating an unacceptably large penguin contribution.*
- *Reasonable value of  $N_{+-}$  smaller than  $p_{+-}$  can result in large observed penguin and CP violation.*
- *If central values of  $D \rightarrow \pi\pi$  observables do not change, we have a clear evidence of NP.*

*Thanks*



